

# Malahon Securities Limited (萬利豐證券有限公司)

## Account Information Form 客戶資料表格 For Individual or Joint Account 個人或聯名戶口適用

1. 姓名

Name:

(English)英文

(Chinese)中文

2. 住址(請勿填寫郵箱號碼)(請用英文正楷填寫)

Residential Address (P.O. Box not accepted):

3. 聯絡電話

Contact No(s):

公司

Office:

住宅

Home:

手提/傳呼

Mobile/Pager:

4. 香港身份證/護照號碼

H.K. Identity Card/Passport No.:

國籍

Nationality:

出生日期

Date of Birth:

5. 婚姻狀況

Marital Status:

單身

Single

已婚, 配偶名稱

Married. Name of Spouse:

離婚

Divorced

6. 僱主名稱

Name of Employer:

職位及任職年期

Position & Year of Service:

7. 公司地址

Business Address:

8. 通訊地址

Correspondence Address:

住址

Residential Address

公司地址

Business Address

其他地址 (請在下註明)

Other Address (Please state below)

9. 閣下會否使用電子渠道落盤? Do you wish to place order via electronic channel?

會 Yes

不會 No

10. 請將有關信件、買賣確認、成交單據、戶口結單及收據送往: (請擇其一)

Correspondence, trade confirmations, contract notes, statements of account and receipts to be sent to: (Please tick one)

郵寄

By Post

客戶本人/吾等 同意以電子方式收取以上文件

I/We, the Client, hereby consent to receive the above documents in electronic form

電郵地址:

E-Mail Address:

11. 銀行戶口 (供入數之用)

Bank Account (for Fund Deposit):

銀行名稱

Name of Bank:

戶口號碼

Account No.:

12. 投資目的

Investment Objective:

收入

Income

對沖

Hedging

資本增值

Capital gain

投機

Speculation

其他

Other

13. 投資經驗

Investment Experience:

初次

None

少於兩年

Less than 2 years

超過兩年

More than 2 years

14. 全年收入 (港幣)

Annual Income(HK\$):

< 200,000

200,000-500,000

500,001-1,000,000

> 1,000,000

15. 住宅

Residence:

自置

Owned

租用

Rented

按揭

Mortgaged

與家人同住

Living with Family

每月供款/租金

Monthly Installment/Rental

16. 閣下是否與萬利豐任何僱員有親屬關係 Do you have any relationship to any employee of Malahon ?

是, 僱員姓名, 與僱員關係

Yes, name of employee and relationship:

否

No

17. 戶口最終權益擁有人 Ultimate Beneficial Owner(s) (請提供身份證或護照副本 Please provide Copy of ID/Passport)

客戶本人

The Client

其他

Others

姓名 Name:

地址及電話 Address and telephone:

18. 閣下或最終權益擁有人是否香港證券及期貨事務監察委員會的持牌人士, 或任何持牌機構(或任何與其有關連之公司)之董事或僱員?

Are you or the ultimate beneficial owner(s) of the Account a Licensed Person, or a director or an employee of any Licensed Corporation (or any of its related companies) of the Securities and Futures Commission

是, 持牌機構名稱

Yes, name of the Licensed Corporation:

不是

No.

19. 閣下是否美國公民或就稅務而言的居民?

Are you a citizen or resident of the USA for tax purposes?

是 Yes

不是 No

20. 閣下是否有意認購下述交易所買賣衍生產品?

Do you wish to purchase the following exchange traded derivative products?

- 牛熊證及衍生權證      Callable Bull Bear Contracts (CBBC) & Derivative Warrants  
 交易所買賣基金      Exchange Traded Funds (ETF)

若閣下有意認購衍生產品，請回答以下問題：

If you wish to purchase derivative products, please answer the following questions:

- 本人/吾等已完成有關介紹一般衍生產品之性質及風險的培訓或課程（例如由學術機構或金融機構所提供之網上或教室課程）

I/We underwent training or attended courses that provide general knowledge of the nature and risks of derivatives (e.g. relevant online or classroom courses offered by academic institutions or financial institutions)

- 本人/吾等擁有從事與衍生產品相關之工作經驗（例如於銀行或金融機構等）

I/We have work experience related to derivative products (e.g. work experience in bank or financial institutions)

- 本人/吾等於過去三年內，已進行了五次或以上有關衍生產品的交易（不論是否在交易所買賣）  
(例如：衍生權證、牛熊證、股票期權及交易所買賣基金等)

I/We have conducted 5 or more transactions in derivative products (whether traded on an exchange or not) within past 3 years (e.g. Derivative Warrants, Callable Bull/Bear Contracts, Stock Options, Exchange Traded Funds, etc)

- 本人/吾等對衍生產品並無認識\*

I/We do not have any knowledge of derivative products\*

\*即使閣下對衍生產品並無認識，如閣下有意認購交易所買賣衍生產品，閣下亦可接受萬利豐就相關衍生產品所作出之風險解釋及完成問卷調查。

Even if you do not have any prior knowledge of exchange traded derivative products, you could accept Malahon's explanation on risks associated with derivative products and complete the above questionnaire if you wish to purchase the indicated derivative products.

本人/吾等(在下面簽署的客戶)確認萬利豐證券有限公司(下稱“萬利豐”)已按本人/吾等選擇的語言(英文或中文)提供了現金客戶合約及風險披露聲明之副本。

本人/吾等(在下面簽署的客戶)謹此聲明在本客戶資料表格所提供之資料全部為真實、完整及正確，除非萬利豐接到更改有關本開戶表格內容之書面通知，否則萬利豐有權完全依賴此等資料及聲明作一切用途。萬利豐或其代理獲授權可隨時就核對本開戶表格資料事宜，與任何人包括本人/吾等之銀行、經紀或任何信用機構進行諮詢。

本人/吾等(在下面簽署的客戶)現申請開立本人/吾等在本開戶表格頁首選擇之帳戶及服務類別。本人/吾等確認已閱讀並明白附上之萬利豐證券有限公司現金客戶合約(該合約)的所有有關條款並且接受及同意受可不時被修改的該合約之條款所約束，本人/吾等在此以書面通知、及確認並授權萬利豐行使其在該合約內的全部常設授權。

本人/吾等(在下面簽署的客戶)進一步確認萬利豐已經邀請本人/吾等閱讀風險披露聲明，提出問題及徵求獨立的意見(如本人/吾等有此意願)。

本人/吾等(在下面簽署的客戶)已仔細閱讀、完全理解並同意接受及遵守現金客戶合約內之個人資料收集聲明。

I/We, the undersigned client(s) hereby confirm that I/We have been provided the Cash Client's Agreement ("the Agreement") of Malahon Securities Limited ("Malahon") and the Risk Disclosure Statement in a language of my/our choice(receipt of a copy whereof is hereby acknowledged by me/us).

I/We, the undersigned client(s) hereby confirm and represent that the information on this Account Information Form is true, complete and correct. Malahon is entitled to rely fully on such information and representations for all purposes, unless Malahon receives notice in writing of any change. Malahon or any of its agents is hereby authorized at any time to contact anyone, including my/our banks or any credit agency, for the purpose of verifying the information provided on this Account Information Form.

I/We, the undersigned client(s) hereby apply to open the types of account(s) and service(s) which I/We choose on the front page of this Account Information Form and confirm that I/We have read and understand the relevant provisions of the attached Agreement and accept and agree to be bound by the Agreement as the same may be amended from time to time, and I/We hereby give you notice in writing that I/we confirm and authorize Malahon to exercise all the powers of the Standing Authorities under the Agreement.

I/We, the undersigned client(s) further acknowledge and confirm that I/We have been invited by Malahon to read the Risk Disclosure Statement, ask questions and take independent advice, if I/We wish.

I/We, the undersigned client(s) have carefully read, fully understood and agreed to accept and be bound by the Personal Information Collection Statement of the Agreement.

Client's Signature 客戶簽署

Date: (日期):

For office use only (公司適用)

A/C No.	Signature	Input	Checked
	Verified by:	by:	By